

## Lecture 28: Trees

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Grimaldi 12.1

Friday is a holiday (Good Friday)  
Monday is a holiday. (Easter Monday)  
Assignment #7 due Tuesday @ 11pm.

Final Exam — 40% of grade.  
Half on A7 and A8 — 20% of grade.  
Half on A1 — A6.

Midterm #3 Average 61.5  
Median 62.5

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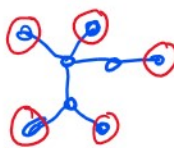
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### Definition ( tree, forest and leaf )

Let  $G = (V, E)$  be a multigraph.  $G$  is a **tree** if  $G$  is connected and  $G$  does not contain a cycle.  $G$  is a **forest** if  $G$  does not contain a cycle. A vertex of degree 1 is called **leaf** or **pendant vertex**.

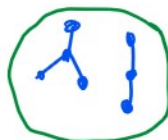
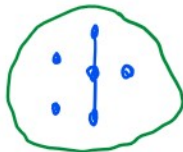
Examples

Trees



← isolated vertex

Forests



Since a tree cannot have loops or parallel edges, it is a simple graph.

We previously showed that every graph with all vertices of degree  $\geq 2$  must have a cycle. Therefore, every tree with  $\geq 2$  vertices must contain a leaf. Later we will see

that trees have  $\geq 2$  leaves.

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## Lemma

If  $T = (V, E)$  is a tree with leaf  $v$  then  $T - v$  is a tree.

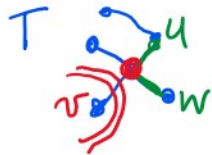
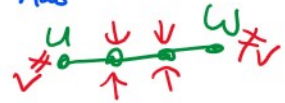
Proof

We must show  $T - v$  is connected and acyclic.

First observe if  $T - v$  has a cycle then  $T$  has

a cycle which contradicts  $T$  is a tree.

So  $T - v$  cannot have a cycle.



Let  $u, w \in V$  with  $u \neq w \neq v$ . There is a path in  $T$  from  $u$  to  $w$  as  $T$  is connected. The only two vertices of degree 1 on that path are  $u$  and  $w$ . All other vertices on the path have degree  $\geq 2$  in  $T$ . So  $v$  (has degree 1) is not on the path from  $u$  to  $w$ . Therefore in  $T - v$  there is a path from  $u$  to  $w$ .

So  $T - v$  is connected.

This observation gives us a powerful tool for proving properties of trees. Try using induction on the number of vertices and, for the inductive step, deleting a leaf then applying the inductive hypothesis. to  $T - v$ .

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## Theorem ( unique paths )

If  $T = (V, E)$  is a tree and  $u, v \in V$  are distinct, there is a unique path in  $T$  with ends  $u, v$ .

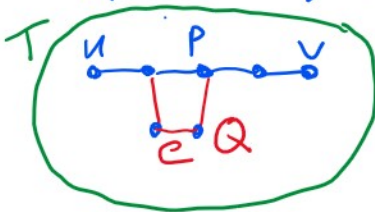
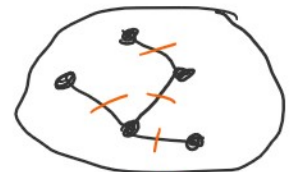
exactly one path.

Proof. (is a path from  $u$  to  $v$ ) By definition a tree is connected so there is a path from  $u$  to  $v$ .

(path is unique)

Let  $P$  be a path from  $u$  to  $v$ .

Towards a contradiction suppose there is another path  $Q$  from  $u$  to  $v$ . Since  $Q \neq P$  there must be at least one edge  $e \in E$  that is on  $Q$  but not on  $P$ . Notice  $T - e$  is connected. Therefore  $e$  is in a cycle in  $T$ . This contradicts " $T$  is a tree". Therefore there is at most one path from  $u$  to  $v$  in  $T$ .



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# Theorem ( main property of trees )

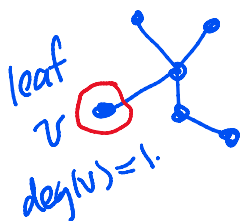
If  $T = (V, E)$  is a tree then  $|V| = |E| + 1$ .  $|E| = |V| - 1$ .

If  $G = (V, E)$  is a forest with  $k$  trees then  $|V| = |E| + k$ .

Proof. By induction on  $|V|$ . Let  $n = |V|$  in  $T$ .

Base:  $n = 1$   $T$  is the singleton vertex  Here  $|V| = 1$ ,  $|E| = 0$  and  $|V| = |E| + 1$  ✓

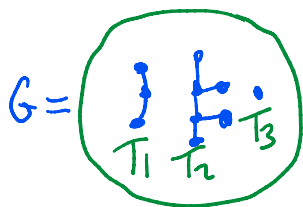
Step  $n \geq 2$ : Ind. Hypothesis. Assume  $|V| = |E| + 1$  holds for any tree with  $|V| < n$  vertices. Let  $v$  be a leaf vertex in  $T$ . Then  $T - v$  is a tree with  $|V| - 1$  vertices and  $|E| - 1$  edges. By the Ind. Hyp. the tree  $T - v$  satisfies  $(|V| - 1) = (|E| - 1) + 1$   
 $\Rightarrow |V| = |E| + 1$ .



Proof (cont). By induction on  $n$ ,  $|V| = |E| + 1$  for all trees with  $n \geq 1$  vertices.

Forests

Let  $T_1, T_2, \dots, T_k$  be the trees in  $G$  where  $T_i = (V_i, E_i)$ .  
 By the first part of the theorem.



$$|V_i| = |E_i| + 1 \text{ for } 1 \leq i \leq k.$$

Adding these  $k$  equations gives

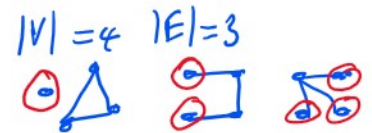
$$\underbrace{|V_1| + |V_2| + \dots + |V_k|}_{= |V|} = \underbrace{(|E_1| + 1) + (|E_2| + 1) + \dots + (|E_k| + 1)}_{= |E| + k}.$$

$$\Rightarrow |V| = |E| + k$$



### Lemma

If  $G = (V, E)$  satisfies  $|V| = |E| + 1$  then  $G$  must have a vertex of degree 0 or at least two of degree 1.



Proof. Let  $n = |V|$  and let  $k_0$  be the # of vertices of degree 0 and  $k_1$  " " " " " " " " 1.

We want to show  $k_0 \geq 1$  or  $k_1 \geq 2$ .

Consider

$$2|E| = \sum_{v \in V} \deg(v)$$

$$2|E| = 2(|V| - 1) \geq k_0 \cdot 0 + k_1 \cdot 1 + 2(n - k_0 - k_1)$$

$$\Rightarrow 2(n - 1) \geq k_1 + 2n - 2k_0 - 2k_1$$

vertices have degree  $\geq 2$

$$\Rightarrow -2 \geq -2k_0 - k_1$$

$$\Rightarrow 2k_0 + k_1 \geq 2 \Rightarrow k_0 \geq 1 \text{ or } k_1 \geq 2$$

$$\geq 0 \quad \geq 0$$

$$\Rightarrow \# \text{ singletons} \geq 1 \text{ OR } \# \text{ leaves} \geq 2.$$

### Lemma

Every tree  $T = (V, E)$  with  $|V| \geq 2$  has at least two leaves.

Proof.  $T$  is a tree  $\Rightarrow T$  is connected  
 and  $T \neq \bullet \Rightarrow$  every vertex has degree  $\geq 1$ .  
 $\Rightarrow$  no vertices have degree 0  
 $\Rightarrow$  there are  $\geq 2$  vertices of degree 1 by previous Lemma.  
 $\Rightarrow T$  has  $\geq 2$  leaf vertices.

Exercise. Let  $T = (V, E)$  be a tree with  $|V| \geq 2$ . So  $T \neq \bullet$ .  
 Show that removing any edge disconnects  $T$ .

