

To determine the cost of an algorithm let  $T(n)$  be the number of \_\_\_\_\_ operations that the algorithm does for an input of size  $n$ . If  $T(n) = 3n^2 + 2n + 5$  we say the algorithm is quadratic in  $n$ .

For large  $n$  the term  $3n^2$  dominates the cost of the alg.

Definition. Let  $n \in \mathbb{N}$  and  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .

We say  $g$  dominates  $f$  if  $\exists c > 0$  and  $\exists k \in \mathbb{N}$  s.t.

$$|f(n)| \leq c |g(n)| \text{ for } n \geq k.$$

Define  $O(g(n)) = \{ f(n) : g(n) \text{ dominates } f(n) \}$ .

Examples

	$\swarrow g(n)$		$ f(n)  \leq c  g(n)  \forall n \geq k.$
$2n^2 + 5$	$\in O(n^2)$	because	$2n^2 + 5 \leq \boxed{7} \cdot n^2 \forall n \geq \boxed{1}$
$\rightarrow 3n + 1$	$\in O(n^2)$	because	$3n + 1 \leq \boxed{4} n^2 \forall n \geq \boxed{1}$
$n^3$	$\notin O(n^2)$	because	$n^3 \not\leq \underline{c} \cdot n^2 \forall n > c$

So  $O(n^2) = \{ an^2 + bn + c, a + b, an^{1.5} + bn + c, a n \log n, \dots \}$

$$O(n) \subset O(n^2) \subset O(n^3)$$

Linear algs.      Quadratic algs.      Cubic algs.

$f, g \in \mathbb{Q}[x]$	$f \pm g$	$f \cdot g$	$A \cdot B$ $n \times n$ matrices.
$\deg f = \deg g = n$	$f(x)$	$f \div g$	$A \cdot x = b$
$T(n) = \# +, -, \times, \div$ operations.	$\alpha f$	$\gcd(f, g)$ .	Gaussian elim.
Let $\alpha \in \mathbb{Q}$	$f'(x)$		

How do we show  $O(f(n)) = O(g(n))$ ?       $O(3n^2 + 11) = O(n^2 + 2n)$ ?

We show  $O(f(n)) \subset O(g(n))$  and  $O(g(n)) \subset O(f(n))$ .

I claim if  $f(n) \in O(g(n))$  then  $O(f(n)) \subset O(g(n))$ .

Proof. Suppose  $f(n) \in O(g(n)) \Rightarrow |f(n)| \leq c_1 |g(n)| \forall n \geq k_1$   
 Let  $h(n) \in O(f(n)) \Rightarrow |h(n)| \leq c_2 |f(n)| \forall n \geq k_2$

... vi. Suppose  $f(n) \in O(g(n)) \Rightarrow |f(n)| \leq C_1 |g(n)| \forall n \geq k_1$

Let  $h(n) \in O(f(n)) \Rightarrow |h(n)| \leq C_2 |f(n)| \forall n \geq k_2$

$\Rightarrow |h(n)| \leq C_2 [C_1 |g(n)|] \forall n \geq \max(k_1, k_2)$

$\Rightarrow h(n) \in O(g(n))$

Take  $C \geq \max(C_2 C_1, 1)$

True  $C_1, C_2 \geq 1$

Exercise. For  $a > 1$  and  $b > 1$  show  $O(\log_a n) = O(\log_b n)$

Hint.  $\log_a n = \frac{\ln n}{\ln a}$

$= O(\log n)$

Properties of  $O(g(n))$ .

①  $O(c \cdot f(n)) = O(f(n))$  for any constant  $c > 0$ .

E.g.  $O(3n^2) = O(n^2)$ . Proof Exercise.

② If  $f(n) \in O(g(n))$  then  $O(|f(n)| + |g(n)|) = O(g(n))$ .

E.g.  $O(\underbrace{3n^2}_{g(n)} + \underbrace{zn+11}_{f(n)}) = O(3n^2) = O(n^2)$ .

③  $\overline{f(n)} \cdot O(g(n)) = O(f(n) \cdot g(n))$ .

E.g.  $zn \cdot O(n) = O(zn^2) = O(n^2)$ .

Often we want to add  $O(f(n)) + O(g(n))$ . E.g.

Algorithm foo

Step 1 .....  $\leq zn \log_2 n + n \in O(n \log_2 n)$

Step 2 .....  $= 3n^2 + zn - 1 \in O(n^2)$

Step 3 .....  $= 2n + 5 \in O(n)$ .

$O(n \log_2 n) + O(n^2) + O(n) = O(n \log_2 n + n^2 + n)$   
 $= O(n^2)$ .

Define  $O(f(n)) + O(g(n)) = O(|f(n)| + |g(n)|)$ .

E.g.  $O(1+n^2) + O(n^2) = O(2n^2+1) = O(n^2)$ .

Example. Suppose  $f, g \in \mathbb{Z}[x]$  of degree  $n \geq 0$ .

Suppose we want to compute  $h(x) = f(x) \cdot g(x) \bmod x^{n+1}$

E.g.  $(1+2x)(2+3x) \bmod x^2 = 2 + 4x + 3x^2$   
 $n=1$   $= 2 + 7x$



There are two main types of complexity.

Algebraic complexity: in  $R[x]$  we count # ring ops in  $R: +, -, \times$ .

Bit complexity: count total # of bit operations.  
(proportional to the time of the algorithm).

The polynomial evaluation problem.

Let  $f \in \mathbb{Q}[x]$ ,  $f \neq 0$ ,  $n = \deg(f)$ .

Let  $\alpha \in \mathbb{Q}$ . How can we compute  $f(\alpha)$ ?

$$f = a_0 + a_1x + a_2x^2 + \dots + a_nx^n.$$

$$f = a_0 + a_1\alpha + a_2\alpha^2 + a_3\alpha^3 + \dots + a_n\alpha^n.$$

pow( $\alpha, n$ )

$y = 1;$

for  $i = 1, 2, 3, \dots, n$  do

$y = \alpha \cdot y$

return  $y$ .

does  $n$  mults.

Eval1( $f, \alpha$ )

$y = a_0$

for  $i = 1, 2, \dots, n$  do

$y = a_i \cdot \text{pow}(\alpha, i) + y$

return  $y$ ;

Let  $T(n)$  be the # of mults in  $\mathbb{Q}$  done.

$$T(n) = \sum_{i=1}^n (1+i) = \sum_{i=1}^n 1 + \sum_{i=1}^n i = n + \frac{n(n+1)}{2} = \frac{n(n+3)}{2} = \frac{n^2}{2} + \frac{3}{2}n \in O(n^2).$$

Eval2( $f, \alpha$ ).

$y = a_0$

$t = 1$

for  $i = 1, 2, \dots, n$  do

$t = \alpha \cdot t$

$y = y + a_i \cdot t$

return  $y$ .

$$T(n) = 2 \cdot n \in O(n).$$

Algorithm Eval1 is  $O(n^2)$  whereas alg. Eval2 is  $O(n)$ .

Horner's algorithm.

$$\text{Horner}(f, \alpha) \quad // \quad f = a_0 + a_1x + a_2x^2 + \dots + a_{n-1}x^{n-1} + a_nx^n$$

$$\text{Homer}(f, x) \quad // \quad f = a_0 + a_1x + a_2x^2 + \dots + a_{n-1}x^{n-1} + a_nx^n$$

$$// \quad = a_0 + x(a_1 + x(a_2 + \dots x(a_{n-1} + x(a_n)) \dots))$$

$$y = a_n$$

for  $i = n-1, n-2, \dots, 1, 0$  do

$$y = x \cdot y + a_i$$

return  $y$ .

$$T(n) = n. \in O(n).$$

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